



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THE APPLICATION OF:

QIONG CHENG ET. AL.

CASE NO.: CL2385USNA

APPLICATION NO.: 10/810,733

CONFIRMATION NO.: 3038

GROUP ART UNIT: 1656

EXAMINER: LEE, JAE W.

FILED: March 26, 2004

FOR: GENES ENCODING CAROTENOID COMPOUNDS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

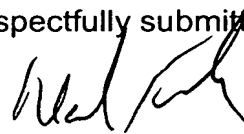
Sir:

In compliance with 37 C.F.R. §§1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office the information listed on the enclosed PTO/SB/08A and/or PTO/SB/08B forms. A copy of the information, if required, is also enclosed. Consideration of the information is requested under 37 C.F.R. § 1.56 and this information is submitted in accord with the provisions of §1.97(b): within three months of filing the national application under 37 C.F.R. §1.53(d), or entry into the national stage under 37 C.F.R. §1.491, or before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action on the merits after filing a Request for Continued Examination under 37 C.F.R. §1.114.

If this Information Disclosure Statement is filed under §1.97(b) but the mailing date hereof is after the mailing of a first Office Action on the merits, the PTO is requested to consider this information accord with the provisions of §1.97(c): after the period specified in §1.97(b) but before the mailing of a Final Office Action, or a Notice of Allowance, or any other action that closes prosecution; in combination with the certification under subsection (e)(1) below.

I certify that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



S. NEIL FELTHAM

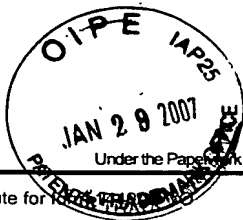
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Dated: 1/25/07



Substitute for PTO/SB/08B (09-06)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/810733
Filing Date	March 26, 2004
First Named Inventor	QIONG CHENG
Group Art Unit	1656
Examiner Name	LEE, JAE W.
Attorney Docket Number	CL2385USNA

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		NGO, J. THOMAS et al., Computational Complexity; Protein Structure Prediction, and the Levinthal Paradox, The Protein Folding Problem and Tertiary Structure Prediction, 1994, pg. 433 and 492-495	<input type="checkbox"/>
		POTRYKUS, INGO, Gene Transfer to Cereals: An Assessment, Biotechnology, June 1990, pg. 535-542, Vol.8(6), Institute for Plant Sciences, Swiss Federal Institute of Technology (ETH), Zurich, Switzerland	<input type="checkbox"/>
		MISAWA, N. et al., Metabolic engineering for the production of carotenoids in non-carotenogenic bacteria and yeasts, Journal of Biotechnology, 1998, pg. 169-181, Vol. 59, Elsevier Science, B.V.	<input type="checkbox"/>
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Examiner
Signature

Date
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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